



Docket: 211/032

365 00 201 A12
421 00 203
65 60 205

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:) Group Art Unit: To be assigned
)
Kalyana Sundram et al.) Examiner: To be assigned
)
Serial No. 08/418,641)
)
Filed: April 7, 1995)
)
For: INCREASING THE HDL LEVEL AND) June 5, 1995
THE HDL/LDL RATIO IN HUMAN)
SERUM WITH FAT BLENDS) La Jolla, CA 92037

**SUBMISSION OF MISSING PARTS AND RELATED
APPLICATION PAPERS**

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

In response to the **NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR § 1.53(d)**, which was mailed on May 2, 1995, enclosed are:

- ☒ Combined Declaration and Power of Attorney
☒ Recordation Form Cover Sheet (Patents Only)
☒ Assignment of the Invention to Brandeis University
☒ A Verified Statement to establish "SMALL ENTITY STATUS" under 37 CFR 1.9(f) and 1.27(c).

1. REQUEST FOR EXTENSION OF TIME:

- ☐ Applicant requests an **Extension of Time** of _____ month to respond; the requisite fee has been calculated as shown below:

		Small Entity	Large Entity
<input type="checkbox"/>	1 month	\$ 55.00	\$ 110.00
<input type="checkbox"/>	2 months	\$ 185.00	\$ 370.00
<input type="checkbox"/>	3 months	\$ 435.00	\$ 870.00
<input type="checkbox"/>	4 months	\$ 680.00	\$1360.00
	Total Fee Due	\$ 55.00	\$

120 MK 07/05/95 08418641

120 MK 07/05/95 08418641

120 MK 07/05/95 08418641

1 201 365.00 CK

1 203 421.00 CK

1 205 65.00 CK

CERTIFICATE OF MAILING (37 CFR 1.83)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231.

2F07046 07/07/95 08418641

12-2475 07/07/95 114.00CH

2F07046 07/07/95 08418641

12-2475 07/07/95 30.00CH

Dated: June 5, 1995

By: *Carla H. Hone*

2F07046 07/07/95 08418641

12-2475 07/07/95 120.00CH

2. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:

BASIC FILING FEE		\$ 730.00
Total Claims:	<u>29</u> - (20) = <u>9</u> x \$ 22 (extra fee charge) =	\$ 374.00
Independent Claims:	<u>8</u> - (3) = <u>5</u> x \$ 76 (extra fee charge) =	\$ 228.00
Multiple Dependent Claims	<u> </u> \$240 (if applicable) =	240.00
Surcharge under 37 CFR 1.16(e)		\$ 130.00
TOTAL OF ABOVE CALCULATIONS		\$1702.00
Reduction by 1/2 for Filing by SMALL ENTITY . Note 37 CFR 1.9, 1.27, 1.28. If application, Verified Statement must be attached		-\$ 851.00
Miscel. Filing Fees (Recordation of Assignment)		\$ 40.00
TOTAL FEES		\$ 891.00

3. METHOD OF PAYMENT OF FEES

XX A check in the amount of \$ 891.00
 _____ Charge Deposit Account No. **12-2475** in the amount of \$ _____. A duplicate
 copy of this Transmittal is enclosed.

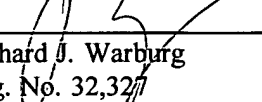
4. AUTHORIZATION TO CHARGE FEES TO DEPOSIT ACCOUNT 12-2475

XX The Commissioner is authorized to charge Counsel's Deposit Account No. 12-2475 for any fees required under 37 CFR 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account 12-2475.

Respectfully submitted,

LYON & LYON

Dated: June 5, 1995

By: 
 Richard J. Warburg
 Reg. No. 32,327

First Interstate World Center
 633 West Fifth Street, Suite 4700
 Los Angeles, CA 90071-2066
 Telephone: (213) 489-1600
 Facsimile: (213) 955-0440

Certificate of Acknowledgment of Execution of an Instrument

Malaysia

(Country)
City of Kuala Lumpur

(County and/or other political division)
Embassy of the United States

(County and/or other political division)
of America

(Name of foreign service office)

SS: }

I, Henry Kaminski, Consul
of the United States of America at Kuala Lumpur, Malaysia
duly commissioned and qualified, do hereby certify that on this 25th
day of May, 1995, before me personally appeared *Kalyana Sundram s/o P. Manickam*
(DATE)

to me personally known, and known to me to be the individual--described in, whose
name is subscribed to, and who executed the annexed instrument, and being
informed by me of the contents of said instrument he duly acknowledged to me
that he executed the same freely and voluntarily for the uses and purposes therein
mentioned.

[SEAL]

In witness whereof I have hereunto set my hand and
official seal the day and year last above written.

Henry Kaminski
American Consul of the United States of America

NOTE.—Wherever practicable all signatures to a document should be included in one certificate.

PASPORT INI SAH DIGUNAKAN UNTUK SEMUA NEGARA KECUALI:
THIS PASSPORT IS VALID FOR ALL COUNTRIES EXCEPT:



Israel

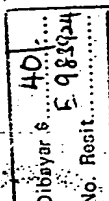


PEMBAHARUAN/RENEWALS

(B672) IMM/LTS/PTT 15/18 Bil. IM/E/05/001/PB 026530/94

PASPORT INI DIBAHARUI.
THIS PASSPORT IS HEREBY RENEWED.

PASPORT INI DIBAHARUI.
THIS PASSPORT IS HEREBY RENEWED.



SAH HINGGA
VALID UNTIL

20 DEC 1994

SAH HINGGA
VALID UNTIL

20 DEC 1996

✓ Ketua Pejabat Imigrasi
Tandatangan dan Cap Rasmi
Signature and Official Seal

07 SEP 1993


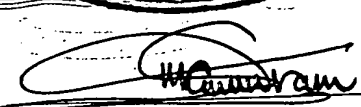
Tandatangan dan Cap Rasmi
Signature and Official Seal
PASPORT, Kuala Lumpur

18 JUL 1994

Kuala Lumpur

KETERANGAN BERKENAAN DENGAN PEMBAWA / DESCRIPTION OF BEARER

Nama Pembawa Name of Bearer	MR. KALYANA SUNDRAM S/O. P. MANICKAM		
Jantina Sex	MALE	No. Kad Pengenalan Identity Card No.	8169958
Pekerjaan Profession	RESEARCH OFFICER		
Tarikh lahir Date of Birth	6TH JUNE 1955	Tempat lahir Place of Birth	N. SEMBILAN
Negeri tempat tinggal Country of residence	MALAYSIA	Tinggi Height	171 cm
Warna mata Colour of eyes	DARK BROWN	Warna rambut Colour of hair	BLACK
Tanda-tanda khas yang kelihatan Visible distinguishing marks	NIL		

	<p>Tempoh sah pasport ini tamat pada The validity of this passport expires on</p> <p>20 DEC 1993</p> <p>kecuali dipahangul / unless renewed</p> <p>Dikeluarkan pada Issued on</p> <p>20 DEC 1988</p> <p>IMMIGRATION MALAYSIA</p>
	<p>GAMBAR PEMBAWA PHOTOGRAPH OF BEARER</p> <p>Mustahak: Pasport ini tidak sah sehingga ditandatangani oleh pembawa</p> <p>Important: This passport is not valid unless signed by the bearer</p>
<p></p> <p>Tandatangan Pembawa / Signature of Bearer</p>	



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
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08/418,641 04/07/95 SUNDRAM

K

0222/0502

LYON & LYON
SUITE 660
4250 EXECUTIVE SQUARE
LA JOLLA CA 92037

DATE MAILED: 0000

**NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED**

05/02/95

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130⁰⁰ for large entities or \$ 65⁰⁰ for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e).

If all required items on this form are filed within the period set below, the total amount owed by applicant as a ☒ large entity, ☐ small entity (verified statement filed), is \$ 2230⁰⁰.

Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- ☒ The statutory basic filing fee is: ☒ missing ☐ insufficient. Applicant as a ☒ large entity ☐ small entity, must submit \$ 130⁰⁰ to complete the basic filing fee.
- ☒ Additional claim fees of \$ 130⁰⁰ as a ☒ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- ☒ The oath or declaration:
☒ is missing.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required.

- ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ The signature(s) to the oath or declaration is/are: ☐ missing; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.
- ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$ _____ under 37 CFR 1.17(k), unless this fee has already been paid.
- ☐ A \$ _____ processing fee is required since your check was returned without payment. (37 CFR 1.21(m)).
- ☐ Your filing receipt was mailed in error because your check was returned without payment.
- ☐ The application does not comply with the Sequence Rules. See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.
- ☐ Other.

Direct the response to Box Missing Part and refer any questions to the Customer Service Center at (703) 308-1202.

A copy of this notice MUST be returned with the response.